

Sold Out
4 Years
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MIS|TI PRESENTS
TRAINING INSTITUTE

Audit Directors' & Managers' SYMPOSIUM

May 19-21, 2020 | Sonesta Resort, Hilton Head Island, SC
Additional Workshops: May 18 & 21, 2020

Internal Audit Challenges... Innovative Solutions...

EARN
up to
32 CPEs

BROUGHT TO YOU BY

MIS|TI™
TRAINING INSTITUTE

auditsymposium.misti.com

MISTI's Audit Directors' & Managers' Symposium continues to provide a truly unique opportunity for participants to learn from a team of expert facilitators who understand the challenges you are facing. For over 30 years, this interactive forum has allowed auditors to engage with their peers and with faculty representing different industries and department sizes. The result is a unique opportunity to benchmark, identify best practices and work together to find solutions to your most significant internal audit issues.

TOP 7 REASONS to attend this interactive, unparalleled event:

- Learn how your peers are adding value and rebalancing internal audit's plan
- Develop strategies that will help you add value to your stakeholders and audit faster, cheaper, and better
- Discover innovative ways to address strategic, operational, compliance and technological risks
- Share practices to work more effectively with the audit committee and the First and Second Lines of Defense
- Enhance your ability to become a more effective change agent
- Hear from accomplished panelists who will share their success stories, and what they learned from their mistakes
- Network within and across industries



Accommodations

A block of rooms has been reserved on a space-available basis at the Sonesta Resort until **April 24, 2020** at a rate of **\$229 per night**. After that date, reservations may be made on a space-available, advertised-rate basis. To book your reservations, contact the Sonesta at 843-842-2400 and mention the MISTI room block.

Register online: auditsymposium.misti.com

Symposium Workshops

Pre-Symposium Workshop Monday, May 18

9:00 AM – 5:00 PM | 8 CPEs

W1 - Understanding the Risks and Opportunities of your Cloud Infrastructure

Mary Siero, CISSP, CISM, CRISC, President, Innovative IT

Organizations continue to rely on cloud computing to satisfy their need for data storage, application management and IT infrastructure support, often with higher reliability and at lower costs. In many cases they are not merely enhancing the existing infrastructure, they are replacing their entire data centers. While there are many benefits to "moving to the cloud", there are also some unique risks and controls that organizations should manage effectively.

1. Introduction to the Cloud
 - Review characteristics, common concerns and essential controls
 - Examine Deployment Models
 - Examine Service Models
2. Shared Responsibility Model for Security
 - Understand the security obligations of the cloud computing provider and its users to ensure accountability
 - Review the implications of a Shared Responsibility Model for:
 - Software as a Service (SaaS)
 - Platform as a Service (PaaS)
 - Infrastructure as a Service (IaaS)
3. Risk Management
 - By Deployment Model
 - By Service Model
4. Cloud Governance
 - Essential considerations when selecting cloud service providers
 - Best practices in supply chain management
 - Key components of an effective audit and assurance program

5:00 PM – 6:30 PM *Welcome Reception*

"This was my first MISTI symposium and it exceeded every expectation. The networking and subject matter were extremely beneficial to my growth."

Manager, Internal Audit
SFBLIC

Post-Symposium BONUS Workshop

Thursday, May 21

12:15 PM – 3:15 PM | 3 CPEs

FREE FOR SYMPOSIUM ATTENDEES

Audit Directors and Managers Roundtable

Dr. Hernan Murdock, CIA, CRMA, Vice President Audit Division, MISTI

Open to symposium attendees, this three-hour workshop will provide an additional opportunity for in-depth discussion of current and emerging dynamics affecting internal auditors.

- Non-traditional ways to find and retain highly-talented people
- Managing a multi-generational staff of Baby Boomers, Generation X, Millennials and Gen Z
- Agile Auditing: Upgrading your internal audit methodology
- Creating an innovative department that is resilient and forward-thinking
- Beyond ideas: How to make changes stick and provide a platform for sustainable improvements in our departments

Register online: auditsymposium.misti.com

Agenda

Tuesday, May 19

8:00 AM – 8:30 AM *Registration & Breakfast*

8:30 AM – 9:00 AM

Introduction and Welcome: New Strategies for New Challenges

Dr. Hernan Murdock, CIA, CRMA,
Vice President, Audit Division, MISTI

9:00 AM – 10:00 AM

State of the Profession: The Top Strategic, Operational, Compliance and Technological Risks We Need to Address

- Key external, internal, present and future dynamics affecting our scope of work
- New and emerging risks, and ways to audit them
- Automation and its impact on assurance
- Board top priorities

10:15 AM – 11:30 AM

How to Maintain a Proactive Audit Plan to Address the Changing Risk Landscape

- Identifying new audits
- Becoming more agile: Faster, cheaper, better
- Innovation in internal audit: Creative ideas to add value and meet the growing demands
- Ensuring key controls are working as expected
- Recognizing emerging risks sooner through a dynamic risk assessment and audit plan

11:30 AM – 1:00 PM

Networking Luncheon with Industry Counterparts

1:00 PM – 2:15 PM

Measuring the Effectiveness of Your Organization's GRC Program: The Role of Internal Audit

2:30 PM – 3:15 PM

Auditing Corporate Culture and Ethics

- Gaining support and sponsorship from the board and senior management
- Identifying the key documents, practices and metrics
- Addressing corporate politics and their implications
- Collecting, analyzing and interpreting data

3:15 PM – 4:00 PM

Breakout Sessions: Staff Matters - Developing a Highly Motivated Team

- How to manage our staff's diverse expectations
- Hiring and retaining the staff that can deliver on our new image and service offerings
- Developing, implementing and living up to your department's mission and vision statement
- Getting better quality results from energized, motivated and self-directed teams

4:00 PM – 5:30 PM

Panel Discussion: How to Position Internal Audit for the Future

- The dynamics that influence the effectiveness of the internal audit function
- Innovative opportunities to add value to the organization
- The changing role of internal auditors during assurance and consulting engagements
- Using technology more effectively within our departments and in our engagements
- Attributes of high-performance audit managers and leaders
- Challenges auditors face in the future

Wednesday, May 20

7:45 AM - 8:30 AM *Continental Breakfast*

8:30 AM – 9:30 AM

Combined Assurance: How to Work More Effectively with the Second Line of Defense and Others

- Educating existing and new members of the audit committee and management
- Keeping the audit committee and executive management informed so they receive Integrated Assurance
- Improving communication and collaboration with Corporate Compliance, Risk Management and others on the Second Line of Defense
- Auditing and relying on the work of others
- Key steps to leverage limited resources and provide combined assurance more effectively

9:45 AM – 11:30 AM

Breakout Group 1: Risks, Strategies and Opportunities for Small and Medium Audit Departments

- Getting started and overcoming typical roadblocks
- Sometimes you select the tool, sometimes the tool selects you
- Create it once and use it many times: Which audits lend themselves for one-time-only analytics, short-term pilot programs and ongoing monitoring activities
- Building an escalation protocol to retain your independence
- Finding help when you need it

Breakout Group 2: Addressing the Challenges of Large Audit Departments

- Generating ideas to constantly improve your data analytics program
- New and innovative enhancements organizations are embracing
- Working with management to hand over the monitoring tools developed
- Getting feedback about management needs and showing them how data analytics can help
- Applying the tools more broadly in audit and consulting engagements
- From data analytics to artificial intelligence and machine learning

11:30 AM – 1:00 PM

Networking Luncheon with Industry Counterparts

1:00 PM – 2:15 PM

Panel Discussion: Lessons from my Journey

- Key lessons learned within and outside internal audit
- Coaching, mentoring, training and developing ourselves and our staff
- How to develop bench strength to improve knowledge and continuity

2:30 PM – 3:15 PM

Becoming a Leader and a Change Agent in Your Organization

- Being pre-emptive and proving foresight to the audit committee and management
- Becoming change agents without jeopardizing our independence and objectivity
- How to create a culture of constant learning and improvement
- Making internal audit a desirable department to work with and work for

3:15 PM – 5:30 PM

Best Practices: Breakout by Industry and Organizational Size

6:00 PM – 7:30 PM *Networking Reception*

Thursday, May 21

7:45 AM – 8:30 AM *Continental Breakfast*

8:30 AM – 9:30 AM

How to Improve the Productivity of Internal Auditors

- Key quantitative and qualitative metrics
- Using data to assess and improve the productivity of your department
- Getting more value from your performance evaluation reviews
- Increasing the value of your post-audit questionnaires
- Measuring individual and team performance
- Resource and talent management for today and the future

9:45 AM – 10:30 AM

Best Practices in Internal Audit

- How to add measurable value while meeting increasing expectations
- Understanding emerging workforce trends and how to benefit from them
- Adapting and implementing best practices in your department
- Doing more with less

10:30 AM – 12:00 PM

Town Hall Discussion

- Discuss other topics of interest
- Summary of program
- Review key takeaways
- Strategize next steps and prepare action plans

Symposium Faculty



SYMPOSIUM CHAIRPERSON

Dr. Hernan Murdock, CIA, CRMA is Vice President, Audit Division for MISTI. Before joining MISTI, he was the Director of Training at Control

Solutions International, where he oversaw the company's training and employee development program. Previously he was a Senior Project Manager leading audit and consulting projects for clients in the manufacturing, transportation, high tech, education, insurance and power generation industries. Dr. Murdock also worked at Arthur Andersen, Liberty Mutual and KeyCorp. Dr. Murdock is a senior lecturer at Northeastern University where he teaches management, leadership and ethics. He is the author of Auditor Essentials: 100 Tips, Tools and Techniques for Success, Operational Auditing: Principles and Techniques for a Changing World, 10 Key Techniques to Improve Team Productivity and Using Surveys in Internal Audits. He has also written articles and book chapters on whistleblowing programs, international auditing, mentoring programs, fraud, deception, corporate social responsibility, and behavioral profiling. He has conducted audits and consulting projects, delivered seminars and invited talks, and made numerous presentations at internal audit, academic and government functions in North America, Latin America, Europe, Africa, Middle East and Asia.

Robert D. Irvin, CIA, Manager, Internal Audit, UT Southwestern Medical Center

Robert A. King, CPA, CISA, CFE, Chief Audit Executive, FedEx

Mary Siero, CISSP, CISM, CRISC, President, Innovative IT

Rachel Tullos, Chief Audit Executive, Crawford & Company

Visit auditsymposium.misti.com for the most up-to-date information.

"Excellent experience with ample opportunity to network and share experiences and best practices."

Director of Internal Audit
Enterprise Bank & Trust

*Faculty subject to change



Registration Information

Three Easy Ways to Register

Online: auditsymposium.misti.com | **Email:** customerservice@misti.com

Call: (508) 879-7999 ext. 501

You can also register through your MISTI sales consultant.



Receptions

Welcome Reception: Monday evening between 5:00 - 6:30 PM

Networking Reception: Wednesday evening between 6:00 - 7:30 PM

TEAM DISCOUNT

Register 2 and the 3rd attends at 50% off!

This discount applies to the registration of lowest value, cannot be combined with any other discount offer, and does not apply to previous registrations. Team registrations must be from the same company and made and paid for at the same time.

Tuition: Tuition must be paid in advance. Tuition covers symposium materials, refreshments, continental breakfasts, lunch on Tuesday and Wednesday and receptions. The Monday workshop tuition includes lunch and workshop materials.

Packages	Early Price until 3/13	Standard Price 3/14 - 5/3	After 5/3
Symposium	\$2395	\$2595	\$2795
Full-day Workshop	\$595	\$695	\$795
Half-day Workshop	Free for paid Symposium attendees		

MISTI Cancellation Policy: If you can no longer attend Audit Directors' & Managers' Symposium, please review the MISTI cancellation policy below and provide written notice to MISTI Customer Service at customerservice@misti.com. Cancellation policies are subject to change without notice.

- Cancellations received on or before April 6, 2020 will be entitled to a refund, less an administrative fee of \$195.
- You may elect to substitute another individual from your organization for the same event at any time without incurring an administrative fee of \$195. Registrations are non-transferable to other events.
- Cancellations received between April 7-April 27, 2020 will be refunded 50% of the amount paid.
- No refund will be given for cancellations received on or after April 28, 2020.

Continuing Education Credits: 21 CPEs for the symposium, 8 for the full-day workshop and 3 for the half-day workshop. *Field of Study: Business Management & Organization*



MIS Training Institute is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org

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S Y M P O S I U M

May 19-21, 2020

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Working together to develop innovative ways
to address internal audit challenges

**"Very informative and touched on subject matters
that were pertinent to me and my audit shop."**

Audit Director
SC Department of Health and Environmental Control (SCDHEC)

**"It was great to hear other attendees' perspectives
on certain topics and how they handle things. I was
able to gather a list of take-aways of new ideas and
solutions that I can implement into my department."**

Corporate VP – Audit Manager
New York Life Insurance Co.

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Southborough, MA 01772

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IMPORTANT: Please refer to the [registration code below](#) when [registering](#).

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